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Dated: February 15, 2002

Signature: [Signature]
(William C. Geary III)

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Docket No.: 022727-0068
(PATENT)

#3
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Randall I. Grimes

Application No.: 09/981,406 - 8073

Group Art Unit: 3731

Filed: October 17, 2001

Examiner: Not Yet Assigned

For: **DEVICES AND METHODS FOR
PERCUTANEOUS MITRAL VALVE
REPAIR**

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INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the U.S. filing date OR within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR 1.97(b), whichever event occurs last. No certification or fee is required.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO/SB/08 (facsimile) are not supplied because they were previously cited by or submitted to the Office in a prior application no. 09/417,945, filed October 13, 1999 and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

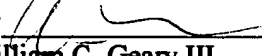
Application No.: 09/981,406 – 8073

Docket No.: 022727-0068

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 022727-0068. A duplicate copy of this paper is enclosed.

Dated: February 15, 2002

Respectfully submitted,

By 
William C. Geary III
Registration No.: 31,359
(617) 439-2000
Attorneys for Applicant

1078881



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3731

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/981,406-8073
		Filing Date	October 17, 2001
		First Named Inventor	Randall I. Grimes
		Group Art Unit	3731
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	4	Attorney Docket Number	022727-0068

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	NUTTER MCCLENNEN & FISH LLP William C. Geary III
Signature	
Date	February 15, 2002

Transmittal

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